PTO/SB/08a (08-03.)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		
INFORMATION DIOCI COURT	Filing Date		2006-05-04
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Li CA	I
(Not for submission under 37 CFR 1.99)	Art Unit		
(Examiner Name		-
	Attorney Docket Numb	er	16469.2

					U.S.I	PATENTS			Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue C	Date	LOT CITED LIDCLIMENT		Pages,Columns,Lines where Relevant Passages or Relevan Figures Appear		
	1	5921732		1999-0	7-19	Chauhan				
If you wis	h to ac	dd additional U.S. Pater							Add	
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ation	Name of Pat of cited Docu	entee or Applicant ument	Releva	Columns,Lines whe int Passages or Rele s Appear	
	1									
If you wisl	h to ac	dd additional U.S. Publi	shed Ap	plication	n citation	n information	please click the Add	d button	Add	_
				FOREIG	GN PAT	ENT DOCUM	IENTS		Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² į		Kind Code ⁴	Publication Date	Applicant of cited		Pages,Columns,Line where Relevant Passages or Relevar Figures Appear	T5
	1	0838416	EP		A1	1998-04-29	Hatton, Philippe			
	2	1178224	EP		A2	2002-02-06	McLaughlan, Kevir			
	3	2389866	CN			2000-08-02	China International Marine Containers (Group) Co.			

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

English language translation is attached.

Application Number			
Filing Date		2006-05-04	
First Named Inventor	Li C	Al	7.1
Art Unit			
Examiner Name		19-11	
Attorney Docket Numb	er	16469.2	

	4	23898	65	CN		2000-08-02	China International Marine Containers (Group) Co.			
If you wis	h to a	dd addi	ional Forei	gn Patent Docur	nent citation	information p	lease click the Add butto	n Add		
				NON-PA	ATENT LITE	RATURE DO	CUMENTS	Remov	е	
Examiner Initials*	Examiner nitials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.					m T ⁵				
	1									
If you wis	h to a	dd addi	ional non-p	atent literature o	document cit	tation informat	ion please click the Add	button A	\dd	
					EXAMINE	R SIGNATUR	E			
Examiner Signature Date Considered										
							formance with MPEP 609 with next communication			ıa

¹ See Kind Codes of USPTO Patent Documents at www.uSPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number			
Filing Date		2006-05-04	
First Named Inventor Li CA		Al	
Art Unit			
Examiner Name		19-11	
Attorney Docket Number		16469.2	

		CE	RTIFICATION STATEMENT
Plea	ase see 37 CFR	1.97 and 1.98 to make the appro	priate selection(s):
	That and 31	of information and in the	
	from a foreign		information disclosure statement was first cited in any communication preign application not more than three months prior to the filing of the .97(e)(1).
OR	2		
	foreign patent of after making rea any individual of	office in a counterpart foreign a assonable inquiry, no item of info	nformation disclosure statement was cited in a communication from a opplication, and, to the knowledge of the person signing the certification rmation contained in the information disclosure statement was known to nore than three months prior to the filing of the information disclosure
	See attached ce	ertification statement.	
	Fee set forth in	37 CFR 1.17 (p) has been subm	itted herewith.
\checkmark	None		
			SIGNATURE
	signature of the ap n of the signature	•	ired in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the
Siar	nature	/R. Burns Israelsen/	Date (YYYY-MM-DD) 2006-05-05

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Registration Number

42685

Name/Print

R. Burns Israelsen

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
 to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.